

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In RE application of: )  
Glenn Reid, et al. )  
 ) Examiner: N/A  
USSN: 09/679,692 )  
 ) Art Unit: 2673  
Filing Date: October 05, 2000 )  
 )  
Title: Layered Graphical Interface )  
 )  
\_\_\_\_\_)  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

REQUEST TO ENTER SUBSTITUTE SPECIFICATION UNDER 37 C.F.R. § 1.125

Dear Sir:

The Examiner has stated that pages 38-49 of the specification have been omitted from the application. Applicant respectfully submits that no pages have been left out of the specification as originally filed. However, the page numbers specified on originally filed specification pages 50 to 53 are incorrect and should read pages 38-43.

Therefore, Applicant has enclosed Substitute Specification pages that have corrected page numbers. In addition, the line numbers in the Substitute Specification pages have been changed to acceptable claim line numbers. No new matter is presented in these Substitute Specification pages 38-49.

Applicant respectfully requests that the Examiner enter the enclosed Substitute Specification pages for the referenced patent application.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Jan. 19, 2001

  
\_\_\_\_\_  
Lisa N. Benado, Reg. No. 39,995

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025-1026  
(425) 827-8600

FIRST CLASS CERTIFICATE OF MAILING

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on January 19, 2001  
Janece Shannon

Date 1/19/01



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on January 19, 2001  
Date of Deposit

Janece Shannon

Name of Person Mailing Correspondence

Janece Shannon 1/19/01  
Signature Date

Serial/Patent No.: 09/679,692 Filing/Issue Date: October 4, 2000  
Client: Apple Computer, Inc.  
Title: LAYERED GRAPHICAL USER INTERFACE

BSTZ File No.: 04860P2475 Atty/Secty Initials: ICS/LB/js  
Date Mailed: January 19, 2001 Docket Due Date: 02/28/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)  | <input type="checkbox"/> Express Mail No.: _____                                     | <input checked="" type="checkbox"/> Check No. <u>40311</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                              | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: <u>130.00</u>   |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)            | <input type="checkbox"/> Information Disclosure Statement & PTO 140 (____ pgs.)      | <input type="checkbox"/> Check No. _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____   |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                     | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                            | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                      | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)   | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                 | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                     | <input type="checkbox"/> Response to Notice of Missing Parts                         |  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.) (Signed)                | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |  |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                    | <input type="checkbox"/> Fee Transmittal, in duplicate                               |  |

☒ Other: Copy of Notice to File Missing Parts (Filing Date Granted). Response to omitted pages 38-49 with renumbered pages. Request to an error in filing receipt, copy of Express Mail and copy of official Filing Receipt. Copy of pages 50-53 as filed, showing corrected page numbering.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In RE application of:

Reid et al.

USSN: 09/679,692

Filing Date: October 4, 2000

Title: LAYERED GRAPHICAL USER  
INTERFACE

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**REQUEST TO CORRECT AN ERROR  
IN THE FILING RECEIPT UNDER 37 C.F.R. § 1.9**

Dear Sir:

Applicant respectfully notes that the Filing Receipt dated October 5, 2000 as issued by the U.S. Patent and Trademark Office for the above-identified pending patent application is incorrect, the correct date is:

October 4, 2000.

A copy of the incorrect filing receipt is attached for your reference, and a copy of the Express Mail Receipt.

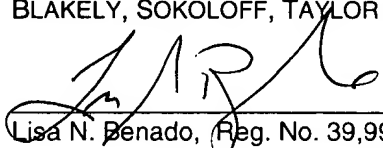
Correction of data on the filing receipt is respectfully requested as indicated for the referenced patent application. Please forward a corrected copy of the official Filing Receipt to the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Jan 19, 2001

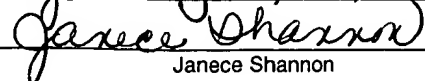
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Los Angeles, California 90025-1026  
(408) 720-8300

  
Lisa N. Benado, Reg. No. 39,995

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on January 19, 2001

  
Janece Shannon

Date 1/19/01

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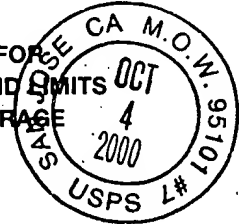
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## FROM: (PLEASE PRINT)

PHONE (408) 720 8595

Lisa Benado  
BLANKLY, SOKOLOFF  
TAYLOR & ZAFMAN, LLP.  
1279 OAKMEAD PKWY  
SUNNYVALE CA 94085-4040  
10/04/00 JCS/LB/kei  
004860.P2475

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JCS Apple Computer

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/679,692	10/05/2000	2673	1006	004860.P2475	10	32	4

Lisa N Benado  
 Blakely Sokoloff Taylor & Zafman LLP  
 12400 Wilshire Boulevard  
 7th Floor  
 Los Angeles, CA 90025

**RECEIVED**  
 JAN 05 2001

FILING RECEIPT



\*OC000000005642863\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
 LOS ANGELES

Date Mailed: 12/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Glenn Reid, Residence Not Provided;  
 Priscilla Shih, Residence Not Provided;

ENTERED

JAN 05 2001

**Continuing Data as Claimed by Applicant**

STATUS DB-LA

**Foreign Applications**

If Required, Foreign Filing License Granted 12/28/2000

**Title**

Layered graphical user interface

**Preliminary Class**

345

Data entry by : THOMAS, SHEILA

Team : OIPE

Date: 12/28/2000





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/679,692	10/05/2000	Glenn Reid	004860.P2475

Lisa N Benado  
Blakely Sokoloff Taylor & Zafman LLP  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025

## FORMALITIES LETTER



\*OC000000005642864\*

01/26/2001 ETULU1 00000090 09679692

01 FC:105

130.00 OP

Date Mailed: 12/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The following item(s) appear to have been **omitted** from the application:

- Page(s) **38-49** of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR**



**1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

Thomas T-6  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE